

Review

A Review of Advanced Transceiver Technologies in Visible Light Communications

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Abstract: Visible Light Communication (VLC) is an emerging technology that utilizes light-emitting diodes (LEDs) for both indoor illumination and wireless communications. It has the potential to enhance the existing WiFi network and connect a large number of high-speed internet users in future smart home environments. Over the past two decades, VLC techniques have made significant strides, resulting in transmission data rates increasing from just a few Mbps to several tens of Gbps. These achievements can be attributed to the development of various transceiver technologies. At the transmitter, LEDs should provide high-quality light for illumination and support wide modulation bandwidths. Meanwhile, at the receiver, optics systems should have functions such as optical filtering, light concentration, and, ideally, a wide field of view (FOV). The photodetector must efficiently convert the optical signal into an electrical signal. Different VLC systems typically consider various transceiver designs. In this paper, we provide a survey of some important emerging technologies used to create advanced optical transceivers in VLC.

Keywords: visible light communication; optical wireless communication; LED; photodetector; fluorescent antenna; optical MIMO



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1. Background

Wireless communication using radio frequency (RF) suffers from its limited bandwidth, which may result in a ‘spectrum crunch’ problem that restricts how fast we can access wireless data. In beyond-5G/6G, a promising solution to increase wireless communication capacity is to use a different part of the electromagnetic spectrum, such as the optical band. This emerging technology is known as optical wireless communication (OWC) and offers the significant advantage of utilizing large amounts of unregulated optical spectrum that is free to use.

OWC is typically classified into different categories based on the transceiver technologies and application scenarios, as depicted in Figure 1. Among the various forms of OWC, visible light communication (VLC) or LiFi has gained considerable research interest in the past two decades. VLC utilizes light-emitting diodes (LEDs) for both indoor illumination and data transmission. The inspiration behind VLC came from the rapid replacement of conventional incandescent and fluorescent light bulbs with LEDs for indoor lighting. In addition to their energy efficiency, LEDs can be directly modulated at very high frequencies, making them ideal for high-speed wireless transmission. Thanks to the development of various critical technologies, the transmission data rate of VLC has increased from a few Mbps to several tens of Gbps, making it a strong candidate for future wireless networks.

In this paper, we review the milestones in VLC’s development along with some important transmitter and receiver technologies used in VLC. In particular, one specific focus of this survey is on reviewing the research related to developing fast color converters that not only deliver high-quality illumination but also can enhance the bandwidth performance of

the LED transmitter. Additionally, we provide a summary of the research conducted on developing fluorescent antennas at the receiver side. These antennas enable simultaneous optical filtering and light concentration while having a wide field of view (FOV). Moreover, we summarize the use of silicon photomultiplier (SiPM) sensors in VLC for detecting weak-intensity light and also highlight the unique non-linearity problem caused by the device's dead time. In addition, we discuss the implementation of various types of angular diversity receivers in optical multiple-input–multiple-output (MIMO) transmission. Lastly, we outline the recent trends and future challenges in VLC research.

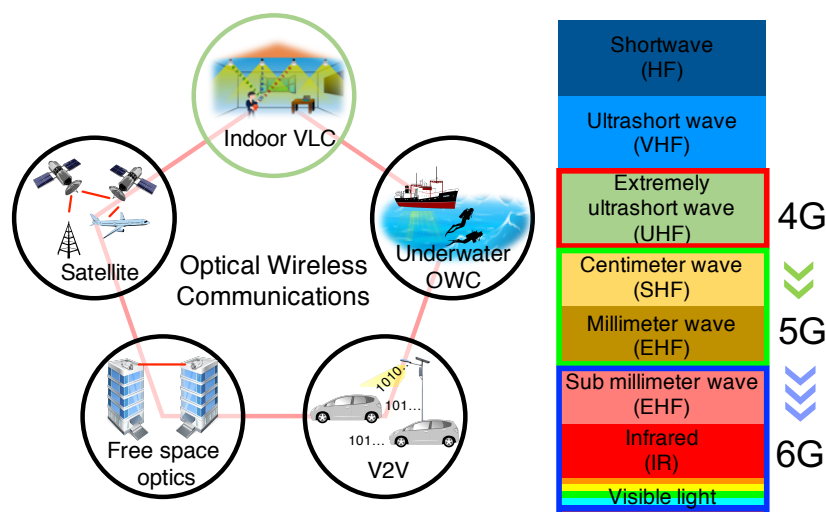


Figure 1. The trend of exploring higher frequency spectrum resources for wireless communications at each generation of wireless network and the possible applications of optical wireless communications.

2. Milestones of VLC Research

Figure 2 shows some key milestones in the development of VLC technologies. Between the 1970s and 1990s, wireless infrared communication research received significant attention [1,2]. In 1997, J. M. Kahn and J. R. Barry published an important paper [3] analyzing the theoretical aspects of infrared communication systems. Many of its theories are also directly relevant to VLC research. Since 2000, energy-efficient LEDs have been rapidly replacing traditional incandescent lighting. The Nobel Prize in 2014 was awarded to three scientists, Isamu Akasaki, Hiroshi Amano, and Shuji Nakamura, for their invention of efficient blue LEDs in the 1980s and 1990s. The invention of blue LEDs has not only enabled bright and energy-saving white light sources but also paved the way for the idea of using LEDs for transmitting data. The current form of VLC, which uses white LEDs for both indoor illumination and data transmission, originated from Nakagawa Lab [4], Japan, in 2002 and has since generated substantial interest worldwide. In 2009, the University of Oxford successfully demonstrated a 100 Mbps VLC transmission link using on-off keying (OOK) modulation [5]. At the same time, VLC using optical orthogonal frequency-division multiplexing (OFDM) modulation also attracted attention. In 2006, J. Armstrong et al. invented a power-efficient optical OFDM modulation method that was later widely considered for both VLC and optical fiber systems [6]. In 2008, the home Gigabit Access Network (OMEGA) project [7–9] was established in Europe and aimed to achieve gigabit data rates for home users via both VLC and RF communications. In 2010, the OMEGA project successfully demonstrated a 513 Mbps VLC transmission link using OFDM modulation with bit loading [10]. In 2011, the term ‘LiFi’ was first introduced by Prof. Harald Haas during a TEDGlobal talk and has attracted much attention from both the general public and the wireless industry [11]. During the same year, the IEEE 802.15.7 standard was formalized and defined the physical (PHY) and media access control (MAC) layer mechanisms for short-range optical wireless systems [12]. In recent years, the uses of multiplexing techniques, such as MIMO and wavelength-division multiplexing (WDM),

to boost the transmission data rate have shown very promising performance. In 2013, the University of Oxford demonstrated a VLC system at 1 Gbps by the use of MIMO [13]. In 2015, Fudan University successfully demonstrated 8 Gbps VLC transmission using WDM with RGBY LEDs [14]. In 2016, the University of Oxford further increased this transmission data rate to 10 Gbps by using WDM and OFDM [15]. In 2019, using off-the-shelf LEDs, this data rate was increased to 15.73 Gbps by the University of Edinburgh [16]. In the same year, Fudan University successfully established an underwater VLC transmission link of 15 Gbps using RGBYC LEDs and WDM [17]. With various new technologies still under development, the VLC research community is aiming to improve the transmission data rate to Tbps using eye-safe lasers [18–21].

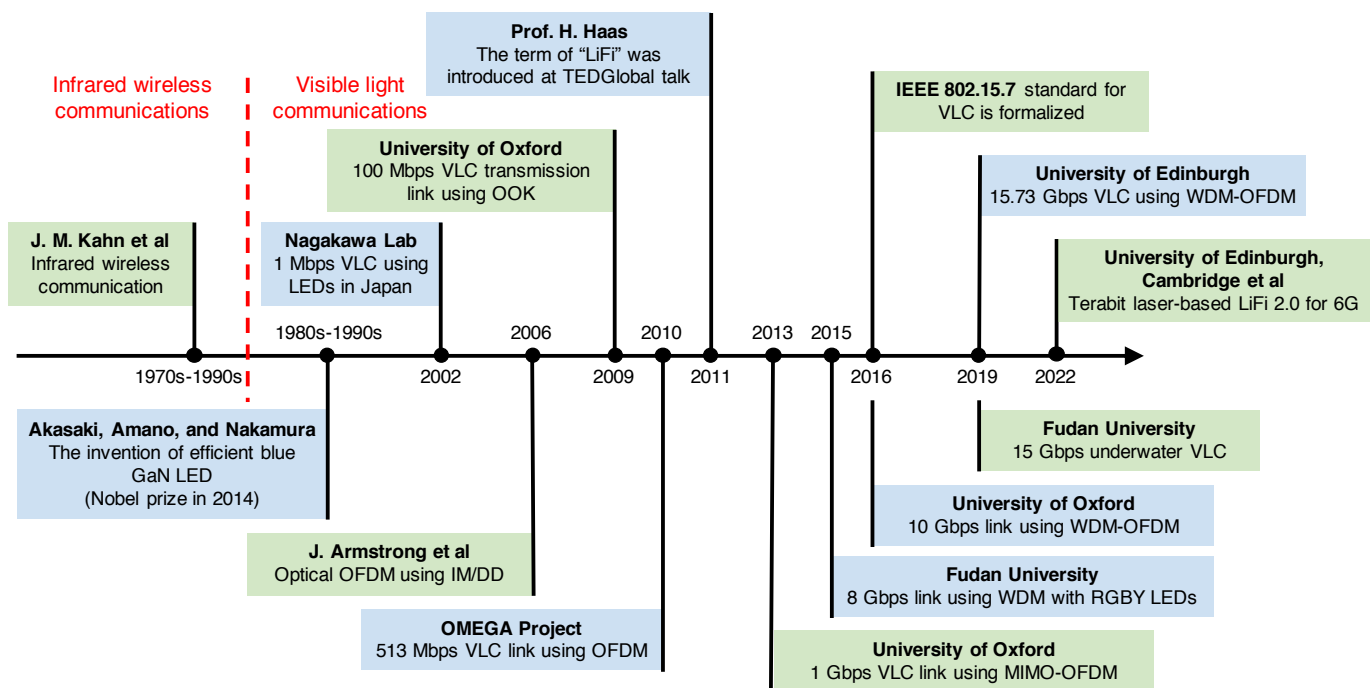


Figure 2. Some major milestones in VLC research.

The transmission data rate is typically regarded as the most important criterion for evaluating the performance of a communication system. Over the past two decades, the data transmission rate of LED-based VLC has increased significantly from only a few Mbps to several tens of Gbps. Based on the type of LED used, a summary of different VLC systems demonstrated to date can be found in Tables 1–4. Using representative work shown in these tables, Figure 3 illustrates how the achieved data transmission rate has been increased over time. Overall, when typical phosphor-coated white LEDs are used, the data transmission rate increases from 1 Mbps to several Gbps. The use of multi-chip RGB LEDs is seen to support the highest data rate thanks to the implementation of WDM to support parallel channels. Moreover, the use of μ LEDs enables Gbps data rates via a single transmission link because of their high modulation bandwidths [22]. Recently, the use of organic LEDs (OLEDs) in VLC has also gained a lot of interests since OLEDs have flexible structures and can be potentially manufactured at very low costs. However, due to the high capacitance of OLEDs, the transmission data rate is usually only several Mbps. In a recent study [23], by manufacturing special types of OLEDs for VLC, data transmission rates of more than 1 Gbps were also achieved. The significant improvement in VLC can be attributed to the development of numerous transceiver technologies. In the following sections, some key technologies are reviewed.

Table 1. Phosphor-based white LED-based VLC links.

Year	Transmitter	Receiver	Modulation	Multiplexing	Distance	Data Rate	Ref.
2020	white LED	PIN	DMT	-	1 m	3 Gbps	[24]
2018	white LED	SPAD	OOK	-	1.2 km	2 Mbps	[25]
2015	white LED	PIN	OFDM	-	1.5 m	2 Gbps	[26]
2015	white LED	PIN	OFDM	-	1 m	1.6 Gbps	[27]
2013	white LED	PIN	OFDM	MIMO	1 m	1.1 Gbps	[13]
2012	white LED	PIN	CAP	-	0.23 m	1.1 Gbps	[28]
2012	white LED	APD	DMT	-	0.1 m	1 Gbps	[29]
2010	white LED	APD	DMT	-	0.3 m	513 Mbps	[10]
2009	white LED	PIN	OOK	-	0.1 m	100 Mbps	[5]
2007	white LED	PIN	OFDM	-	0.01 m	100 Mbps	[30]
2006	white LED	PIN	OFDM	-	1 m	16 Kbps	[31]
2002	white LED	PIN	BPSK	-	-	1 Mbps	[32]

Table 2. Multi-chip LED-based VLC links.

Year	Transmitter	Receiver	Modulation	Multiplexing	Distance	Data Rate	Ref.
2021	16 Si-LEDs	PIN	DMT	WDM	1.2 m	24.25 Gbps	[33]
2019	RGBY LEDs	PIN	OFDM	WDM	1.6 m	15.73 Gbps	[16]
2019	RGBYC Si-LEDs	PIN	DMT	WDM	1.2 m	15.17 Gbps	[17]
2017	RGB LEDs	PIN	OFDM	WDM + MIMO	1 m	6.36 Gbps	[34]
2016	RGB LEDs	SPAD	OFDM	WDM	2 m	60 Mbps	[35]
2015	RGBY LEDs	PIN	CAP	WDM	1 m	8 Gbps	[14]
2015	RGB LEDs	PIN	CAP	WDM	1.5 m	4.5 Gbps	[36]
2014	RGB LEDs	APD	OFDM	WDM	0.01 m	4.22 Gbps	[37]
2012	RGB LEDs	APD	OFDM	WDM	0.1 m	3.4 Gbps	[38]
2012	RGB LEDs	APD	DMT	WDM	0.1 m	1.25 Gbps	[39]

Table 3. μ LED-based VLC links.

Year	Transmitter	Receiver	Modulation	Multiplexing	Distance	Data Rate	Ref.
2023	μ LED	APD	OFDM	-	0.31 m	3.5 Gbps	[40]
2022	μ LED	APD	OFDM	WDM	0.25	18.43 Gbps	[41]
2021	μ LED	APD	OFDM	-	0.25	4.343 Gbps	[42]
2017	μ LED	PIN	OFDM	-	0.275 m	11.12 Gbps	[43]
2016	μ LEDs	PIN	OFDM	WDM	1.5 m	10 Gbps	[15]
2016	μ LED	APD	PAM-4	MIMO	0.5 m	7.5 Gbps	[44]
2016	μ LED	PIN	OFDM	-	0.75 m	5.37 Gbps	[45]
2015	μ LED	APD	OFDM	WDM	-	2.3 Gbps	[46]
2014	μ LED	PIN	OFDM	-	0.05 m	3 Gbps	[47]
2014	μ LED	APD	OFDM	-	0.03m	1.68 Gbps	[48]
2011	μ LED	PIN	OOK	-	-	512 Mbps	[49]
2010	μ LED	PIN	OOK	-	-	1 Gbps	[50]

Table 4. OLED-based VLC links.

Year	Transmitter	Receiver	Modulation	Multiplexing	Distance	Data Rate	Ref.
2020	OLED	APD	OFDM	-	2 m	1.13 Gbps	[23]
2020	OLED	PIN	OOK	-	0.05 m	2.2 Mbps	[51]
2015	OLED	OPD	OOK	WDM	0.05 m	55 Mbps	[52]
2015	OLED	PIN	OOK	WDM	0.05 m	10Mbps	[53]
2013	OLED	PIN	OOK	-	-	10 Mbps	[54]
2012	OLED	PIN	OOK	-	0.1 m	550 Kbps	[55]

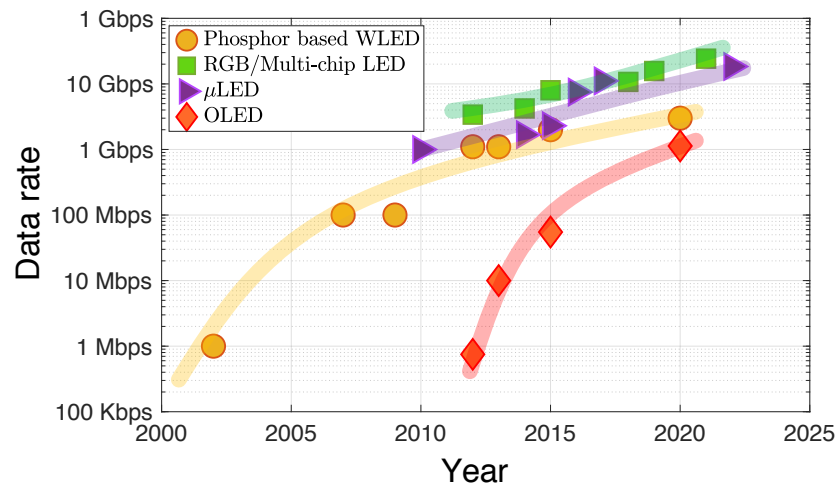


Figure 3. The achieved VLC transmission data rates using different types of LED in the past 20 years. The curved lines illustrate a coarse representation of the data rates achieved over different years.

3. LED Transmitter

As depicted in Figure 3, the choice of the LED transmitter has a substantial influence on the performance of a VLC system. For indoor illumination purposes, the emitted light from the LED needs to be white. There are typically two categories of LEDs used for producing white light: multi-chip RGB LEDs and phosphor-based LEDs. As shown in Figure 4, in an RGB LED, three LED chips emit red, green, and blue light, respectively, to generate white light. Different from a RGB LED, a phosphor-based LED is comprised of a phosphor coating and a single blue LED. The phosphor coating converts a portion of the emitted blue light to yellow, and the combination of blue and yellow light is perceived as white light. Due to their low cost, phosphor-coated white LEDs are the most widely used LED type for illumination purposes.

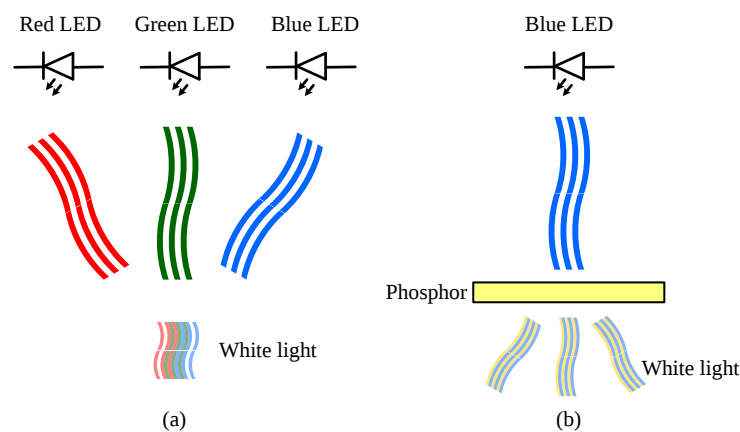


Figure 4. Different types of white LED: (a) multi-chip RGB LED (b) phosphor-based LED.

3.1. LED Radiation Pattern

One important characteristic of an LED is its radiation pattern, which determines the relative light strength for different emission angles. In most of the VLC research, a Lambertian emitter [3] is used to model the radiation pattern of the LED. The Lambertian order, m , is related to the semi-angle of the LED, $\Phi_{1/2}$, by

$$m = \frac{\ln 2}{\ln(\cos \Phi_{1/2})}. \quad (1)$$

Figure 5 shows the 3-D radiation pattern of the LED with different Lambertian orders. The radiation pattern of the LED is designed for illumination purposes but also significantly influences VLC performance. It can be seen that a higher value of the Lambertian order means the LED is more directional. If a bare photodiode with an area of A is placed at a position with a distance of d from the LED, the optical channel gain is given by

$$h = \frac{(m+1)A}{2\pi d^2} \cos^m(\phi) \cos(\psi) \quad (2)$$

where ϕ is the emergence angle of the light and ψ is the incident angle of the light.

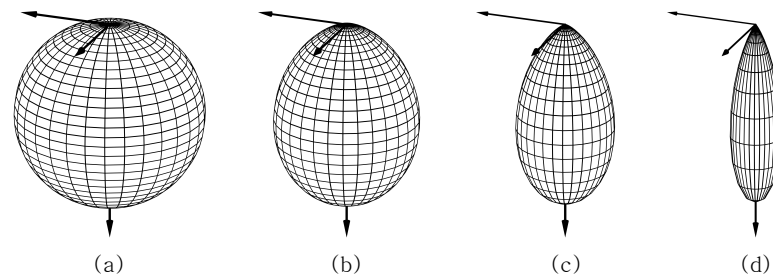


Figure 5. The radiation pattern of LEDs with different Lambertian orders (a) $m = 1$ ($\Phi_{1/2} = 60^\circ$), (b) $m = 2$ ($\Phi_{1/2} = 45^\circ$), (c) $m = 5$ ($\Phi_{1/2} = 30^\circ$), and (d) $m = 20$ ($\Phi_{1/2} = 15^\circ$).

In a VLC transmission scenario, the positions of the LED and the photodiode not only affect their distance but also influence both the emergence angle and incident angle of the light. Therefore, unlike most RF systems, the relative position between the transmitter and the receiver has a significant influence on the channel gain and thus affects the transmission performance. Using the Lambertian model, Figure 6 shows an example of how the illuminance is distributed in an office-like environment with a size of $10 \text{ m} \times 6 \text{ m}$. In this example, the vertical distance between the LED luminaires installed on the ceiling and the plane where the illumination level is simulated at 1.75 m , which is a typical distance between the ceiling and a table surface. The Lambertian order is considered to be one, and the positions of the luminaires are shown in Figure 6 using crosses. As expected, the positions directly below the LED luminaires have high illumination levels, e.g., 400 lx – 500 lx . In contrast, the illumination levels are relatively low in the corners of the room, e.g., 100 lx . Importantly, it can be clearly seen that the light transmitted from each individual LED luminaire only covers a specific area. This implies that even within a limited space, users may only receive desired signals from a single transmitter without much interferences from other LED luminaires. As a result, the same frequency resources can be reused across different LED luminaires, allowing for the construction of a small indoor cellular system [56–58]. This is normally considered as another significant advantage of VLC over its RF counterparts.

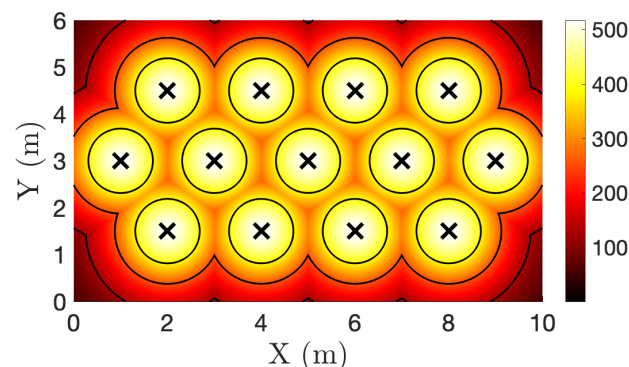


Figure 6. The illumination level distribution (in lx) in a $10 \text{ m} \times 6 \text{ m}$ room with 13 LED luminaires.

3.2. Fast Color Converter

Phosphor-based LEDs are currently the most commonly used light source for illumination, making them the popular type of transmitter in VLC research. However, the main limitation when using phosphor-based LEDs as VLC data transmitters is that, although the blue LED can be modulated up to high frequencies, due to the slow photoluminescence (PL) lifetime of the phosphor coating, the generated white light can only be modulated at frequencies up to few megahertz [59]. This is normally known as the ‘phosphor bottleneck’ problem in VLC and results in a trending research topic on developing new color converters with short PL lifetime to replace the phosphor coating for generating high-quality white light.

A good color converter for VLC applications should possess several important features. Firstly, it should have strong absorption of blue light emitted from Gallium Nitride (GaN) LEDs, typically at 450 nm. Secondly, it should have a high photoluminescence quantum yield (PLQY) to ensure that most absorbed photons lead to the emission of new photons with longer wavelengths rather than non-radiative decay. Thirdly, the generated white light should have good illumination quality, as usually measured by the color rendering index (CRI). Finally, the color converter should have a PL lifetime shorter than the carrier recombination lifetime of the LED so that the bandwidth of the LED is not affected. For both the GaN LED and the color converter, the exponential decay of the processes that lead to emission of photons results in a single-pole response in the frequency domain, given by [60]

$$H(f) = \frac{1}{1 + j2\pi f\tau} \quad (3)$$

where τ is either the PL lifetime of the color converter or the carrier recombination lifetime of the GaN LED. Therefore, the frequency response of the signal power is

$$|H(f)|^2 = H(f)H^*(f) = \frac{1}{1 + (2\pi f\tau)^2}. \quad (4)$$

In this case, the 3 dB bandwidth is given by

$$f_{3dB} = \frac{1}{2\pi\tau} \quad (5)$$

which is inversely proportional to the PL lifetime. Consequently, a short PL lifetime of the color converter is very desirable for communication purposes.

In the past, several different fast color converters have been developed for VLC applications. In reference [48], the color converter “super yellow”, which is a type of conjugated polymer, was combined with a blue μ LED to produce high-quality white light. A breakthrough transmission data rate of 1.68 Gbps was achieved at a standard illumination level of 240 lx. Following this, the organic materials group at the University of St Andrews and the optical wireless group at the University of Oxford collaborated to investigate several organic materials for developing fast color converters [61–64]. For example, some studied materials, such as BBEHP-PPV ($\tau = 0.83$ ns), exhibited PL lifetimes of less than one nanosecond [61]. Additionally, by using advanced cascade energy transfer principles, a high-performance color converter that enables the shifting of the emission spectrum from green to red with both a high PLQY and a short PL lifetime was created [64]. In more recent studies, in addition to organic materials, there is also a trend to explore some inorganic perovskite nanocrystals, or quantum dots, for creating fast color converters, and many transmission systems have been demonstrated with very promising performance [65–71].

4. VLC Receiver

In addition to the LED transmitter, the design of the receiver also plays an important role in VLC. As shown in Figure 7, a typical VLC receiver consists of an optical filter, an optical concentrator, and a photodiode. The optical filter selects the wavelength of the

light to be detected. The optical concentrator is used to increase the received optical power. The photodiode converts the optical intensity signal into an electrical signal. In many receiver designs, the photodiode is also connected with a transimpedance amplifier (TIA) so that the current signal is converted into a voltage signal.

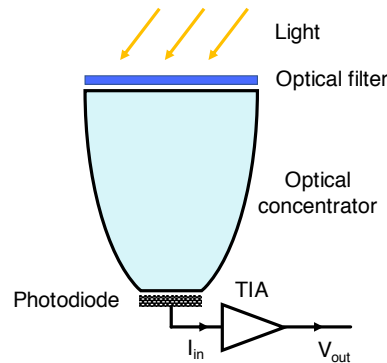


Figure 7. A typical VLC receiver consists of an optical filter, an optical concentrator, and a photodiode.

4.1. Optical Filter

An optical filter can improve the overall performance of the VLC system in several ways. For instance, when using a phosphor-based LED as the data transmitter, a blue filter is usually placed before the photodiode to filter out the yellow light and thus increase the transmission bandwidth. However, in some studies [72,73], it was found that blue filtering does not always improve the transmission performance. The usefulness of blue filtering depends on a range of factors such as the transmission data rate, the frequency range utilized, the effectiveness of the equalizer, the noise level, and even the LED spectrum [72]. This is because if the transmission sampling rate is not too high and very high frequencies are not used, the yellow light still carries information. In this case, using proper equalization techniques, the frequency response caused by both the blue LED and the phosphor can be well equalized and the noise component after equalization is acceptable; the use of blue filtering may not be necessary and may even reduce the performance. In contrast, when the transmission sampling rate becomes high or very high frequencies are utilized, the yellow light carries no information at these frequencies but only introduces shot noise. In this situation, a blue filter can be placed to filter out the yellow light and thus reduce the shot noise. If no blue filtering is used, the equalization can result in significant noise enhancement, which affects the overall transmission performance. Another important function of optical filtering is to effectively separate light of various colors, thus preventing crosstalk between different channels when RGB LEDs or lasers are employed as data transmitters, enabling WDM to achieve high data transmission rates [74].

4.2. Optical Concentrator

In VLC, the received optical power of the light is proportional to the area of the photodiode. However, the capacitance of the photodiode increases when the area of the photodiode increases, and a high capacitance limits the bandwidth of the receiver. To support a high modulation bandwidth, the size of the photodiode needs to be small. Therefore, an optical concentrator is usually placed in front of the photodiode to increase the received optical power for a given photodiode area. The most common concentrators include lenses and compound parabolic concentrators (CPCs). However, these concentrators cannot achieve both high concentration gain and a wide FOV; this is known as the

étendue limit [3]. Using typical optical concentrators, the maximum concentration gain, $g(\psi)$, and the FOV, Ψ_{FOV} , are related by

$$g(\psi) = \begin{cases} \frac{n^2}{\sin^2 \Psi_{\text{FOV}}}, & 0 \leq \psi \leq \Psi_{\text{FOV}} \\ 0, & \psi > \Psi_{\text{FOV}} \end{cases} \quad (6)$$

where n is the refractive index of the concentrator. When a concentrator and an optical filter are used, the optical channel gain shown in (2) can be adapted to give

$$h = \begin{cases} \frac{(m+1)A}{2\pi d^2} \cos^m(\psi) T_s(\psi) g(\psi) \cos(\psi), & 0 \leq \psi \leq \Psi_{\text{FOV}} \\ 0, & \psi > \Psi_{\text{FOV}} \end{cases} \quad (7)$$

where $T_s(\psi)$ is the transmission coefficient of the optical filter, which may also vary with the incident angle of the light, especially when narrow-band interference filters are used.

In addition to limiting the receiver's FOV, placing both an optical filter and an optical concentrator in front of the photodiode can also result in a bulky receiver structure, such as the example shown in Figure 7, which is not suitable for small devices such as IoT devices.

4.3. Fluorescent Antenna

To build compact VLC receivers with wide FOVs, a new approach of using optical antennas made of fluorescent materials has been recently studied and shows very promising performance. In addition to their wide FOVs, these antennas are capable of simultaneous optical filtering and light concentration. Figure 8a shows the main physical processes of the light within the fluorescent antenna. When the incident light arrives at the antenna surface, depending on the incident angle of the light, part of the light is reflected back into the air and the rest of the light transmits into the antenna. Within the antenna, the light can pass through the antenna if the wavelength of the light is not within the absorption range of the fluorophore. Alternatively, a photon can be absorbed by the fluorophore. This absorption can be relaxed non-radiatively or it can result in the emission of a photon with longer wavelengths. Since the emitted photons can go in any direction, as shown in Figure 8a, these photons can escape the antenna or be re-absorbed by the fluorophore. At the same time, many photons can be waveguided to the antenna end where a photodiode is placed. Overall, due to these physical processes, a single fluorescent antenna has many functions. First, because the fluorescent materials only absorb light of certain wavelengths, therefore the antennas have the functions of optical filtering. Second, the antennas are designed to have both a cladding layer and a core layer so that many emitted photons from the fluorophores can be trapped within the antenna and guided to the photodiodes. Thus, they are capable of light concentration. Third, since its light concentration principle is based on fluorescence rather than reflection and refraction, it can exceed the étendue limit and achieve both high light concentration gain and a wide FOV. Additionally, since fluorophores with very short PL lifetimes can be selected. The antennas can provide very high transmission bandwidths.

The idea of fluorescent antennas was originally from the study of luminescent solar concentrators (LSCs) [75], and it was then first studied for use in OWCs in [76] for building a wide-FOV receiver. After that, several different antenna structures and fluorescent materials have been explored and tested in different OWC systems. In reference [77], an optical antenna structure made of a fluorescent layer sandwiched between two glass microscope slides was introduced. The fluorescent layer contained an organic fluorescent dye, Coumarin-6 (Cm6), which has strong absorption of 450 nm blue light. The performance of this antenna was studied in a blue LED-based VLC system. In reference [78], this structure was extended to include a second organic fluorescent layer made of 4-(Dicyanomethylene)-2-methyl-6-(4-dimethylaminostyryl)-4H-pyran (DCM) dye, which can absorb green light greater than 500 nm. In this case, this structure can support WDM techniques to boost the

transmission data rate when a multi-chip RGB LED is used as the data transmitter. However, the significant drawback of this structure is that it has four wide rectangular edges, and most of the photons that are guided to the antenna edges cannot be detected. To overcome its structure problem, capillary-based antennas were introduced in [79]. A photo of these antennas, which are made of Coumarin-504 (Cm504), Cm6, and DCM, is shown in Figure 8b. In addition to organic materials, some inorganic materials have also been studied. For example, in [80], CsPbBr₃ perovskite nanocrystals were considered to make an optical antenna with a polymer-fiber structure. Its performance was demonstrated in an underwater optical wireless transmission link. Another popular approach to designing optical antennas is to use commercially available fluorescent fibers [81–86]. Figure 8c shows one example of a fluorescent antenna made of two bent fibers that have strong absorption of 450 nm blue light and emission of green light. The benefits of using fluorescent fibers as optical antennas include their flexible structure and the advanced fiber cladding technique that increases photon trap efficiency. In recent studies [87–89], the concept of utilizing a commercially available light-diffusing fiber (LDF) to construct a wide-FOV OWC system was also introduced. In this approach, the end of the LDF fiber is connected to a light source that transmits the signal. Due to the scattering of light in various directions within the fiber and its uniform emission from the fiber surface, this technique enables the use of movable receivers.

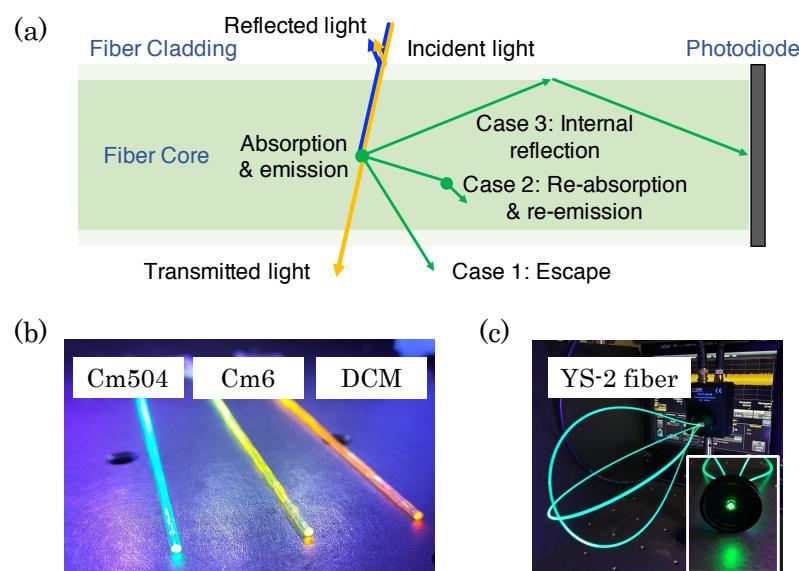


Figure 8. (a) The physical processes of the light within the fluorescent antenna, (b) three different antenna examples made of organic fluorescent materials [79], and (c) one antenna example using commercially available fluorescent fibers [86].

4.4. Photodetector

In VLC systems, the received light intensity is converted into an electrical signal using a photodetector at the receiver. Two commonly used types of photodiodes are positive–intrinsic–negative (PIN) photodiodes and avalanche photodiodes (APDs). Although the cost of PIN photodiodes is typically much lower than that of APDs, APDs are significantly more sensitive due to the signal amplification resulting from avalanche multiplication. However, the drawback of using an APD is that it produces excess noise [90]. One way to further improve the sensitivity of the receiver is to bias an APD above its breakdown voltage, known as the Geiger mode, to create a single-photon avalanche detector (SPAD) [91,92]. Although SPADs are very sensitive and can detect individual photons, their operational mechanism means that the SPAD needs a period to recover after detecting a single photon. This is usually known as the dead time or the recovery period [91]. Figure 9 shows three simulation trials of the photon detection process with the impact of the SPAD’s dead time

when different irradiance levels are considered. As observed in Figure 9a, no photons are missed when the irradiance level is low. However, as illustrated in Figure 9b,c, an increase in irradiance results in a rise in both the number of photons arriving at the SPAD and the number of missed photons.

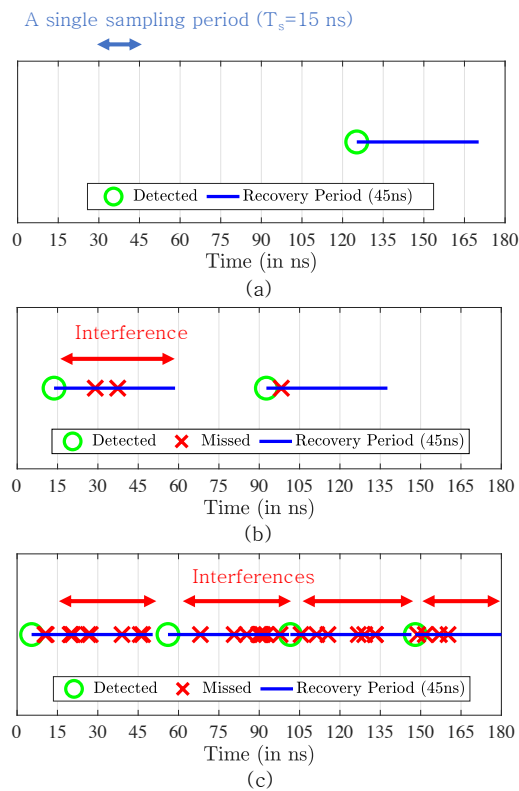


Figure 9. The simulation trials of the photon detection process with the influence of the SPAD’s dead time (45 ns) considering a single microcell within a SiPM 30035 chip when the received irradiance levels are (a) 1 mW/m², (b) 10 mW/m², and (c) 100 mW/m². In these figures, the green circles indicate the time of detected photons and the blue lines indicate the associated dead time. The red crosses indicate the missed photons.

In recent studies, the use of a SPAD array sensor, known as SiPM or multi-pixel photon counter (MPPC), in VLC shows very impressive results, particularly when the received light is weak [93–96]. Thanks to advanced silicon fabrication techniques, a single SiPM sensor, only a few millimeters in size, can contain thousands of SPADs. Compared to a single SPAD, the use of a SPAD array ensures that even if some SPADs become inactive after detecting photons, other active SPADs can continue to detect them. This also provides a wide dynamic range of the quantized photon-counting signal to support advanced modulation techniques such as OFDM [97–100]. However, the dead time of individual SPADs still significantly impacts transmission and causes a non-linear response when a SiPM is used to detect light intensity signals. Figure 10 shows the nonlinear relationship between the average photon counting rate and the irradiance at the SiPM with a SiPM 30035 chip manufactured by Onsemi considered. Since SiPMs are non-paralyzable detectors, it also can be seen that the maximum photon counting rate is given by

$$C_{\max} = \frac{N_{\text{SPAD}}}{\tau_{\text{recover}}} \quad (8)$$

where N_{SPAD} represents the number of SPADs within a SiPM and τ_{recover} denotes the dead time. When the transmission sampling rate is low, the nonlinearity caused by the SPAD dead time can cause attenuation in individual signal samples. However, when the trans-

mission sampling rate is high, the dead time can span several symbol periods, as shown in Figure 9b,c, introducing a unique form of ISI. To address the nonlinearity problem caused by SPAD dead time, various pre- and post-equalization techniques have been explored in recent studies [101,102], including using different forms of artificial neural networks [103,104]. As SiPM chip fabrication techniques continue to advance, SiPM sensors are becoming increasingly suitable for low-light-intensity environments, such as underwater OWC [105–107], eye-safe laser-based OWC [108,109], and free space optics (FSO)-based applications for unmanned aerial vehicles (UAVs) [110–112].

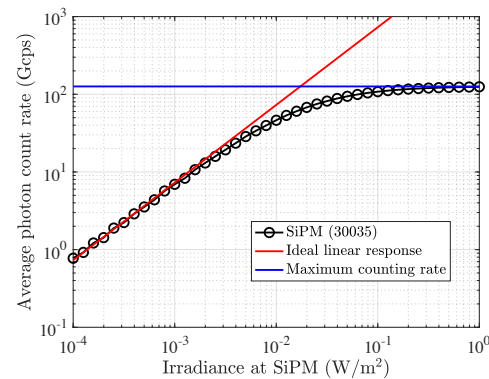


Figure 10. The simulated nonlinear response of the SiPM chip 30035 and the desired linear response.

4.5. Optical MIMO Receiver

In most indoor environments, the illumination is typically provided by several LED luminaires that are spaced at intervals on the ceiling. By using these LED luminaires as data transmitters and multiple photodiodes at the receiver, it is possible to achieve VLC MIMO transmission [113–115]. Several types of VLC MIMO systems are commonly employed, including spatial multiplexing (SMP), optical spatial modulation (OSM), and indoor VLC cellular systems.

An important indoor VLC MIMO configuration utilizes SMP [116–118] in which independent data are transmitted from each of the LED luminaires. Figure 11a depicts the transmitters, L1, L2, L3, and L4, transmitting independent data streams to the user devices, U1 and U2. Multiple photodiodes are used in each user device to receive the transmitted signals, which are then sent to a de-multiplexing module for separation. The independent transmission of data from LEDs means that SMP configuration can support high data rates.

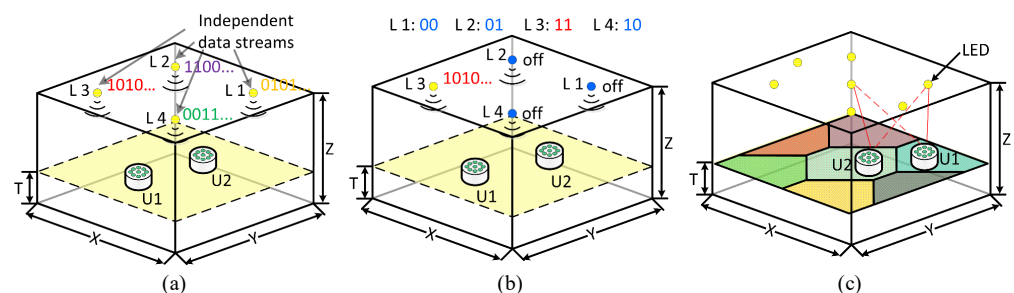


Figure 11. Three typical optical MIMO systems considered in VLC: (a) spatial multiplexing, (b) spatial modulation, and (c) indoor optical cellular system.

Another important VLC MIMO configuration is OSM [119–122], which is illustrated in Figure 11b. In the simplest form of OSM, the transmission is divided into short time slots and only one LED luminaire is active during each time slot. Information is conveyed through both the data symbols modulated on the light intensity and the index of the active LED luminaire. Multi-stream interference (MSI) is avoided in OSM since only

one LED is active. However, this is achieved at the expense of a significant reduction in data rate. To enhance the transmission data rate of OSM, generalized OSM can be considered, in which during each time slot, multiple LED luminaires are active to transmit some information [123] or independent data streams [124]. Consequently, more bits of information are modulated into the index of the active LED luminaires and the performance is enhanced via diversity combining or multiplexing.

A more feasible configuration in an indoor environment is a cellular system wherein the data intended for a particular user are transmitted by the closest luminaire [125]. As shown in Figure 11c, the user's possible location is divided into different cells, with each cell having a corresponding LED luminaire. The luminaire transmits signals to the users within its cell. For instance, user U1 receives the desired signal from the luminaire located above, while U2 receives the desired signal from a different luminaire. Multiplexing techniques such as time-division multiple access (TDMA), code-division multiple access (CDMA), orthogonal frequency-division multiple access (OFDMA), and non-orthogonal multiple access (NOMA) [126] can be utilized to enable multiple users to be supported by each cell.

In all of the optical MIMO configurations, the receiver must be able to successfully separate signals transmitted from different sources. However, the characteristics of the transmitters, channel, and receivers used in VLC systems mean that the separation of the signals is difficult. In VLC, the received optical power varies slowly as a function of PD position. As shown in Figure 12, when using multiple PDs with the same orientation, the channels between the PDs and a particular LED luminaire become highly similar in the case of a small receiver such as a smartphone. This creates an ill-conditioned channel matrix where each column of the matrix has nearly identical values. This means that it becomes difficult to separate the signals without significant noise enhancement.

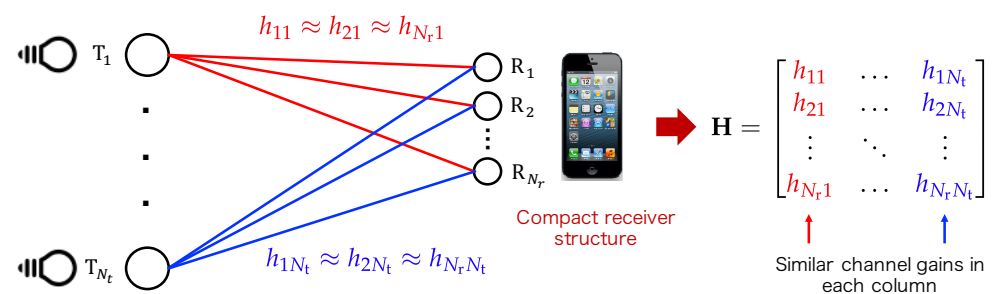


Figure 12. Optical channel between multiple LED transmitters and multiple photodetectors.

To improve the MIMO channel condition, optical receivers play an important role. Currently, the optical MIMO receiver can be classified into two categories, including imaging receivers and non-image receivers. In the case of an imaging receiver, an imaging lens is used to completely separate the signals in the optical domain [44,116,127]. One example is shown in Figure 13a. To increase the FOV of the imaging receiver, a hemispherical-lens-based receiver is introduced in [117], and its structure is shown in Figure 13b. Although the hemispherical lens can only partially separate the signal in some cases, it can still result in a well-conditioned channel matrix that enables the signals to be successfully separated in the electrical domain using de-multiplexing techniques. In the case of the non-imaging receiver, angular diversity receivers are usually used. One simple way to create an angular diversity receiver is to place the photodiodes in a way that they can face in different directions. One example uses a pyramid structure, as shown in Figure 13c [128]. Other similar structures are studied in [125,129–131]. Reference [125] shows that the facing angles of the photodiodes can be optimized based on considered indoor scenarios. However, these three-dimensional receivers can hardly be incorporated into a device without any protrusions. To build optical MIMO receivers with a planar surface, a prism-based receiver is introduced in [132]. As shown in Figure 13d, by using prisms with different orientations, the photodiode placed below the prism only receives light from certain directions and, thus, angular

diversity is achieved. Another approach is using an angular diversity aperture receiver (ADA), as shown in Figure 13e. An ADA receiver contains multiple receiving elements (REs), and each RE consists of an aperture and a photodiode [133–135]. The key feature of the ADA receiver is that the positions of the photodiodes are different in different REs. By adjusting the relative position between the aperture and the photodiode, a directional MIMO receiver is created and the FOV of each RE is also adjustable. This structure can be further used to create MIMO receivers with different FOVs for improving the channel condition [136]. A similar structure, named the ‘quadrature angular diversity aperture receiver (QADA)’, is also studied in [137,138] for angle-of-arrival (AoA)-based VLP systems. Figure 13e illustrates an ADA receiver with nine REs. To show the directionality of this receiver, the 3D receiving pattern and the associated polar plot of the receiver are shown in Figure 14. It can be seen that although a single RE has a very limited FOV, using multiple REs can achieve an overall large FOV.

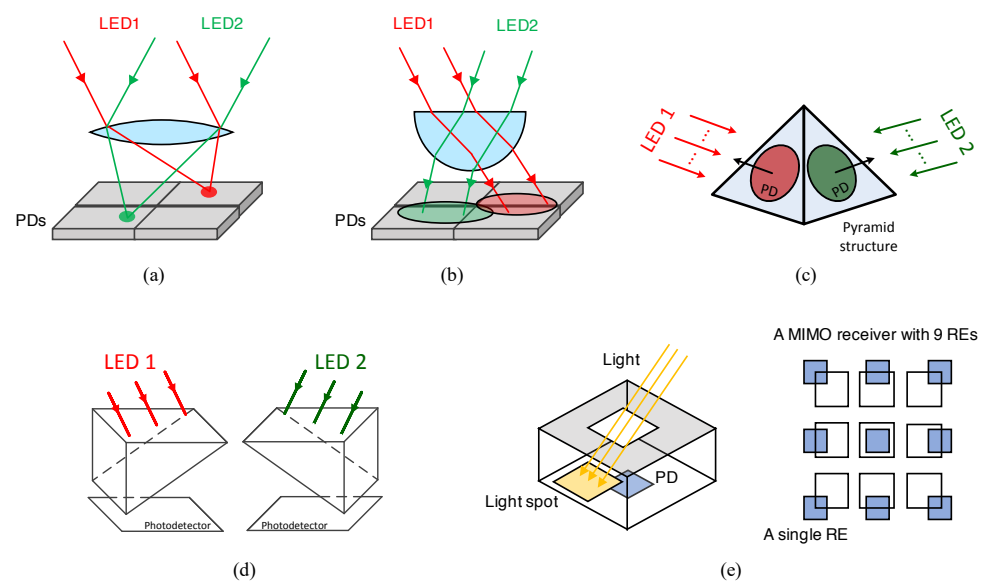


Figure 13. Different types of optical MIMO receivers: (a) an imaging-lens-based receiver, (b) a hemispherical-lens-based receiver, (c) a receiver using PDs facing different directions, (d) a prism-based receiver, and (e) the angular diversity aperture (ADA) receiver.

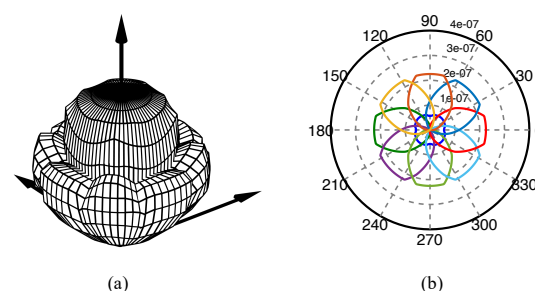


Figure 14. The receiving pattern of an ADA receiver with nine REs: (a) the 3D optical channel gain plot and (b) the polar plot.

5. Recent Trends and Future Challenges

Although LEDs are extensively used in various indoor environments, making them convenient choices for optical wireless transmitters, their modulation bandwidths remain relatively limited. This limitation has the potential to restrict the achievable transmission data rates in the near future. The VLC research community is currently exploring the use of eye-safe lasers, often referred to as LiFi 2.0, to achieve transmission rates in the Tbps range [20]. Unlike LEDs, lasers offer significantly higher modulation bandwidths.

However, the need for safety compliance, such as the constraints outlined in the IEC 60825-1 standard, requires limiting the laser's output power. Recent studies have demonstrated that vertical-cavity surface-emitting lasers (VCSELs) can achieve data rates in the Gbps range while ensuring eye-safe conditions [109]. Additionally, the utilization of broad beams eliminates the requirement for using high-cost beam-steering techniques, enabling the coverage of large areas and offering significant benefits for supporting mobile users. VCSELs also offer numerous advantages as data transmitters, including extremely high modulation bandwidths (>10 GHz) and the ability to densely pack them in large arrays within a single access point (AP), enabling support for transmission scenarios such as MIMO [21] and indoor atto-cells [109]. Currently, the development of LiFi 2.0 is still in its early stages, and the goal of establishing stable Tbps transmission links is being actively pursued through collaborative efforts by several major OWC research groups.

Despite the numerous advantages of VLC, it still faces several challenges compared to its RF counterparts. Firstly, in many VLC systems that employ broad beams of light, the transmission distance is often limited. This limitation is particularly prominent in IM/DD-based VLC systems, where the transmitted signal is directly modulated onto the optical power of the light. In such cases, the amplitude of the received electrical signal is inversely proportional to the square of the distance. Consequently, as the distance increases, the power of the electrical signal decreases even more rapidly. Secondly, in most VLC experiments, achieving high transmission data rates is only feasible when a LOS link exists between the transmitter and receiver, as the diffuse component of the light is typically much weaker. Although photon-counting sensors are capable of detecting light with extremely weak intensity, designing optical filtering systems that allow a wide FOV while effectively rejecting ambient light to prevent the sensor from being saturated can be significantly challenging. Thirdly, most high-speed VLC transmissions can only be demonstrated in laboratory-based experiments that rely on expensive optics or specially designed electronics. If VLC is to be implemented in real-life applications, it becomes essential to focus on reducing costs to meet practical requirements.

6. Conclusions

Over the last two decades, there has been a significant growing interest in the development of VLC technologies for a variety of wireless applications. This growth can be attributed to a range of advanced transmission techniques. First, thanks to the invention of different types of LEDs, high-transmission bandwidths were achieved, which allows Gbps data rates. Additionally, to solve the “phosphor bottleneck” problem and provide high-quality white light, researchers have investigated various materials with short PL lifetimes. The developed technologies allow the bandwidth of white-light-emitting transmitters to increase from just several megahertz to several hundred megahertz for supporting Gbps links. Advanced photodetectors and optics at the receiver have also significantly contributed to the development of VLC systems. Recent studies on fluorescent antennas have enabled the creation of a compact wide-FOV receiver with dual functions of optical filtering and light concentration. Moreover, the use of super-sensitive optical sensors, such as the SiPM chip, has allowed for the use of VLC in special environments with extremely low light intensity, such as underwater OWC. We hope that the continued development of these emerging techniques will make VLC a strong candidate for the next-generation wireless communication network.

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